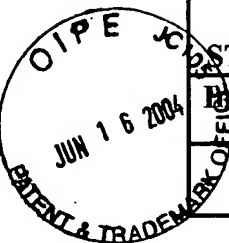


IFW

TRANSMITTAL OF INFORMATION DISCLOSURE		Attny. Docket No. 2002B130A/2	
STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)			
Re Application of: Baiyi Zhao et al.			
U.S. Serial No. 10/692,827	Filing Date 10/24/03	Examiner Unassigned	Group Art Unit 1755



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

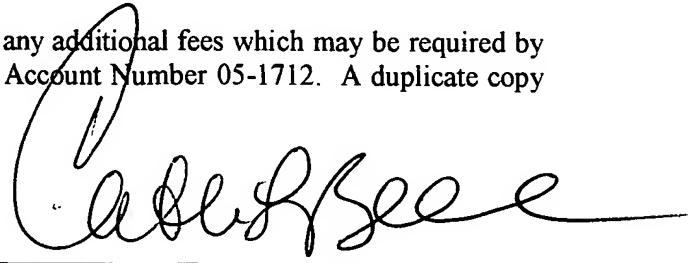
☒ Legible copies of the references are enclosed, where required.

☒ This application is a:
☐ Continuation / Divisional,
☒ Continuation-in-Part,

of U.S.S.N. 10/693,584 filed October 24, 2003. Copies of the cited references are ☒ enclosed;
☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

June 11, 2004
Date



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INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	2002B130A/2	10/692,827
	APPLICANT	
	Baiyi Zhao et al.	
	FILING DATE	GROUP
	10/24/03	1755

U. S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AF	EP 0 479 541 A1	04/08/92	Europe	C07F	15/00		
	AG	WO 00/02887 A2	01/20/00	PCT	C07F	9/02		
	AH	WO 01/38337 A2	05/31/01	PCT	C07H	-		
	AI	JP 09255713	09/30/97	Japan(Abstract only)				

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

AI	Dieter Vogt, "Oligomerization of Ethylene to Higher Linear α -Olefins", Ed. Applied Homogeneous Catalysis with Organometallic Compounds, A Comprehensive Handbook", Vol. 1, VCH Verlagsgesellschaft mbH, Weinheim, 1996, pp. 245-258
AJ	B. Elvers, et al., "Hydrocarbons", Ed. Ullmann's Encyclopedia of Industrial Chemistry, Vol. A13, VCH Verlagsgesellschaft mbH, Weinheim, 1989, pp. 243-247 and 275-276
AK	Gandelman, Mark et al, "The First Observation and Kinetic Evaluation of a Single Step Metal Insertion into a C-C Bond", Journal of the American Chemical Society (2000), 122(40), pp. 9848-9849, XP002241620
AL	Kamigaito, Masami et al, "Transition metal-catalyzed living radical polymerization: latest advances", American Chemical Society, Division of Polymer Chemistry, (2002), 43(2), 3-4, 2002 (Abstract)

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant	

INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO.		SERIAL NO.	
				2002B130A/2		10/692,827	
				APPLICANT			
				Baiyi Zhao et al.			
				FILING DATE		GROUP	
				10/24/03		1755	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	USSN10/693584	10/24/03	Zhao et al.			
	AB						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	AC						
	AD						
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)							
	AE	David W. Old et al., "A Highly Active Catalyst for Palladium-Catalyzed Cross-Coupling Reactions: Room-Temperature Suzuki Couplings and Amination of Unactivated Aryl Chlorides", J. Am. Chem. Soc., 1998, 120, pp. 9722-9723					
	AF	John P. Wolfe et al., "Highly Active Palladium Catalysts for Suzuki Coupling Reactions", J. Am. Chem. Soc. 1999, 121, pp. 9550-9561					
	AG	Joseph M. Fox et al., "Highly Active and Selective Catalysts for the Formation of α -Aryl Ketones", J. Am. Chem. Soc. 2000, 122, pp. 1360-1370					
	AH	Pavel Kocovsky et al., "Palladium(II) Complexes of 2-Dimethylamino-2'-diphenylphosphino-1,1'-binaphthyl (MAP) with Unique Pd,Co Coordination and Their Catalytic Activity in Allylic Substitution, Hartwig-Buchwald Amination, and Suzuki Coupling", J. Am. Chem. Soc. 1999, 121, pp. 7714-7715					
EXAMINER				DATE CONSIDERED			